

Agreement to Connect And Receive Trade Signals From a Third-Party Trade Signal Provider

IB provides customers with the ability to receive trade signals from a third-party automated trade signal provider or facilitator (the "Trade Signal Provider") of their own choosing. By signing below, you authorize IB to facilitate the provision of trade signals from the Trade Signal Provider designated by you (the Customer) to buy, sell (including short sales), and trade or otherwise acquire, including without limitation, stocks, equity options and other securities; futures, options on futures and other commodities; and leveraged forex transactions, on margin or otherwise, in accordance with the provisions of this Agreement and all other Agreements between IB and Customer and to submit for execution orders based on these signals.

In consideration for Interactive facilitating the receipt of trade signals and execution of orders based on these signals in my Interactive account, I agree to the following. If I do not agree to these provisions, I will not complete this document and I will not ask Interactive to allow a third-party Trade Signal Provider to connect to my IB account.

- I have authorized Interactive to allow Collective2 to provide me with trading signals and to submit for execution orders based on these signals in my IB account, Account Number _____.
- **I ACKNOWLEDGE THAT THE TRADE SIGNAL PROVIDER IS INDEPENDENT OF IB AND IB DOES NOT MAKE ANY REPRESENTATIONS OR WARRANTIES CONCERNING THE PAST OR FUTURE PERFORMANCE OF THE TRADE SIGNAL PROVIDER OR THE ACCURACY OF THE INFORMATION IT PROVIDES. IB WILL NOT INVESTIGATE OR JUDGE THE COMPETENCE OR INTEGRITY OF THE TRADE SIGNAL PROVIDER, ITS AFFILIATES, ASSIGNS, DIRECTORS OR EMPLOYEES OR MONITOR THE RECOMMENDATIONS OR ACTIONS OF THE TRADE SIGNAL PROVIDER. I AGREE THAT INTERACTIVE BROKERS IS NOT RESPONSIBLE OR LIABLE FOR THE TRADING INFORMATION, TRADE SIGNALS OR SERVICES OF THE TRADE SIGNAL PROVIDER AND I AGREE NOT TO BRING LAWSUITS OR CLAIMS OF ANY KIND AGAINST IB FOR ACTIONS OR TRADING INFORMATION OF THE TRADE SIGNAL PROVIDER.**
- **I ACKNOWLEDGE THAT THE TRADE SIGNAL PROVIDER WAS SELECTED SOLELY BY ME AND THAT THE TRADE SIGNAL PROVIDER HAS NOT BEEN RECOMMENDED OR ENDORSED BY INTERACTIVE.**
- **I ACKNOWLEDGE THAT INTERACTIVE DOES NOT RECOMMEND TRADES OR GIVE ADVICE. AND I AGREE THAT IB WILL NOT CONDUCT ANY "SUITABILITY REVIEW" TO MAKE SURE THE TRADING SIGNALS OR**

INFORMATION PROVIDED OR FACILITATED BY THE TRADE SIGNAL PROVIDER IS SUITABLE FOR ME. SINCE INTERACTIVE BROKERS IS NOT PROVIDING ANY ADVICE TO ME, IF MY ACCOUNT GENERATES PROFITS FROM THE USE OF A TRADE SIGNAL PROVIDER, I WILL NOT HAVE TO SHARE THE PROFITS WITH INTERACTIVE BROKERS. LIKEWISE, I AGREE THAT IF MY ACCOUNT SUFFERS LOSSES, INTERACTIVE BROKERS WILL NOT REPAY ME OR BE RESPONSIBLE FOR MY LOSSES.

- I understand that I am responsible for conducting my own due diligence with respect to the Trade Signal Provider, its technical system and the trading strategies about which it provides signals and information. I understand that using a Trade Signal Provider to generate trading signals may expose me to certain risks associated with the use of computers and electronic communication systems (e.g., failure of hardware, software or associated communication systems, system interruption, or data feed failure) and I agree to accept such risks.
- I understand that securities or other financial instruments referenced in the signals provided by the Trade Signal Provider are not suitable for all investors. The trading signals and information provided by the Trade Signal Provider may not take into account my particular investment objectives, financial situations or needs. Before making any investment or trade, I understand that I should conduct my own research as to whether it is suitable for my particular circumstances and, as necessary, seek professional advice. Past performance is no guarantee of future results.
- I acknowledge that the Trade Signal Provider may not be licensed or registered as an investment advisor. I understand that it is my responsibility, and not IB's, to determine the license or registration status of the Trade Signal Provider or whether the Provider needs to be licensed or registered.
- In the event that I wish to terminate the Trade Signal Provider's connection to my account, I understand that I may do so at any time by logging into Account Management and deleting the Trade Signal Provider's username from my account.
- I have read and understand the Disclosure Concerning Auto Trading Service Providers attached to this Agreement, which contains information published by the SEC on its website about auto-trading.
- **I agree to indemnify and hold IB, its affiliates, successors, assigns, and its and their directors, officers and employees harmless from and against any**

and all claims, liabilities, actions, and costs, including attorney's fees, resulting or arising directly or indirectly from the trading in my IB account or the Trade Signal Provider's recommendations, facilitation or transmission of trade signals or actions. I agree to promptly pay on demand any balances owing and all losses arising therefrom or debit balances incurred. This Agreement is in addition to (and in no way limits or restricts) any rights that IB, its affiliates or successors or assigns may have under any other agreement or agreements I have previously agreed to or accepted.

Customer Name

Joint Owner's Name (if applicable)

Customer Signature

Joint Owner's Signature (if applicable)

To expedite the approval process, email completed pages 1-3 to docs@autotradenow.com along with copy of photo ID of account holder(s).

Disclosure Concerning Auto Trading Service Providers

The U.S. Securities & Exchange Commission (the “SEC”) has provided investors with the following information concerning Auto-Trading on the SEC’s website at <http://www.sec.gov/investor/pubs/autotrading.htm>:

All About Auto-Trading

If you subscribe, or are thinking about subscribing to, an investment newsletter service that offers “auto-trading,” please read this investor alert. Investment newsletters market “auto-trading” programs as a way to receive quick execution of trades recommended by the investment newsletter. In an “auto-trading” program, you establish an account at a brokerage firm that has agreed to accept trading instructions from the investment newsletter. In order to allow “auto-trading” in your account, you must sign an agreement with the broker authorizing it to accept trading instructions directly from the investment newsletter and to execute trades in your account without first getting your permission. The broker will make trades in your account *without consulting you* about the price, the type of security, the amount and when to buy or sell.

“Auto-trading,” like any other arrangement that allows someone else to trade in your account without first asking your permission, can be highly risky. Here are some steps you’ll want to take to check out an auto-trading program, before you hand over any money:

Check Out the Newsletter — Find out whether the firm that’s selling the investment newsletter is registered to do business as an investment adviser. You can do this by visiting the SEC website and clicking on the words “[Check Out Your Broker or Adviser](#).” Generally, the SEC considers firms that publish investment newsletters and that also engage in “auto-trading” to be investment advisers. If you cannot find proof that the firm is registered as an investment adviser, please let us know by using our online [Center for Complaints and Enforcement Tips](#).

Independently Confirm Performance — Be wary of claims of superior performance, especially ones that rely upon “cherry picking” successful recommendations and ignoring those that generated losses. You’ll want to see a complete track record of how the firm’s recommendations fared over several months to evaluate whether it is living up to its promises. If the firm isn’t willing to provide this information, think twice about entrusting your accounts and your money to them.

Steer Clear of Testimonials — Watch out if the investment newsletter's promotional materials, such as its website, contain "testimonials" from supposedly satisfied clients, especially if all the "testimonials" are full of praise. The SEC forbids registered investment advisers from advertising their services using testimonials.

Follow the Money — Find out whether the firm offering the investment newsletter is being paid by others to recommend particular stocks. This is particularly important because you are giving the firm the ability to make trades in your brokerage account without asking your permission. You'll want to evaluate any conflicts of interest they might have in making recommendations.

Fully Vet the Broker — Before you establish a brokerage account with the firm the newsletter recommends, be sure to thoroughly check out the disciplinary history of both the brokerage firm and any sales representative assigned to your account. You can do this by using FINRA's free [BrokerCheck](#) service and by calling your [state securities regulator](#).

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Be very wary if any firm claims to always make profits investing in the stock market, or if the firm claims to make extraordinarily high profits for customers. If it sounds too good to be true, it usually is! For more information on how to invest wisely and avoid costly mistakes, please visit the [Investor Information](#) section of our website.